

CA Final AUDIT - May'17 Exams

1 Day Quick Revision Seminar



Course Coverage

IMP Ques from SAs | Imp Chapters | Amendments | RTP & PM
in 06 Hours
with Concepts | Tips to Remember | Shortcuts & Short Notes
[Focusing on how to write answers in Exam]

Time: 10am - 04pm



CA Ravi Taori



Pune - 2nd April
Mumbai - 4th April
Indore - 6th April
Delhi - 8th April
Nagpur - 11th April
Nagpur (IPC) - 12th April
Raipur - 14th April
Bengaluru - 16th April

Venue &
Registration }

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CA FINAL AUDIT 100 Important TOPICS from Study Material & RTP

Hello Everyone , ICAI keeps changing its material on continuous basis, all professors try to keep content updated, but there may be chances that some things are missed out. Further

In Nov 16, I observed that many questions were asked from module, specially which are in bold italics or with diagrams or with case study. So I compiled list of such topics. Just check if it is in your present book, if not cover from study material as per page number given. This will give you confidence and edge that you have covered Study Material. Cover them with your regular studies & PM.

We will be covering many of the below topics in our upcoming one day classes

	Topic	Chapter (SM)	Pg No (SM)
SA Intro	Procedure for issuing SA	1	4
SA Intro	Audit Vs Related Services Diagram	1	5
SA 300	Preliminary Engagement Activities	2	2
SA 300	Overall Audit Strategy	2	4
SA 300	Characteristic of Engagement	2	5
SA 300	Relationship between audit strategy & plan	2	7
SA 300	Important matters while framing audit programme	2	11
RAIC	Control Structure	3	1
RAIC	Internal Check System	3	7
RAIC	Disclosure Controls and Procedures and IFCR	3	33
SA 530	Factors Affecting Sample Size	5	19
LA	Professional Negligence	7	3
LA	Liabilities of CA under Income Tax Act	7	24
AR	Opinion which auditor is suppose to express as per Sec 143	8	18
AR	Chart on SA 710	8	32
Corp Gov	Auditors Certificate	9	23
GIC	Specific Control Procedures Related to General Insurance Business	12	1
GIC	Types of Reinsurance	12	25
GIC	Solvency Margin	12	31
Co-Op	Special Features of Co-Operative Audit	13	6
Co-Op	Books of Accounts of Multi State Co-Operative Society	13	10
Co-Op	Power of CG to Direct Special Audit	13	13
NBFC	Classification of fraud by NBFC	14	14
Tax Audit	Definition of Accountant as per IT Act	15	7

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Cost Audit	General Features of Cost Audit Report	16	26
Environment Audit	Main areas for Environment Audit	17	29
Council Guidelines 2008	Tax Audit Limit	22	77
PE	Case Study on Clause (7) but Clause (8) should be specified instead in this particular case. Sometime decisio is given under clause 7 sometimes clause 8	22	64
PE	Case Study on CI 11	22	51
PE	Can auditor of subsidiary be director in holding ?	22	50
PE	Can CA charge on percentage basis fee for being recovery consultant ? (No)	22	47
PE	Undercutting of Fees	22	37
PE	Use of expression "associates of" etc	22	34
PE	Photograph / Quick Response Code on Visiting Card	22	32
PE	Treatment of Goodwill Chart	22	20
PE	What do you mean by CA in service	22	14
PE	LLP with company as partner	22	11
PE	Cancellation & Restoration of COP	22	7
PE	Removal & Restoration of Membership	22	5
PE	Fundamental Principles	22	2
Investigation	Case Studies on Investigation	20	4
Investigation	Headings of DD Report	20	43
Investigation	Hidden Liabilities / Overvalued Assets	20	41
Investigation	Types of DD / Sub Classification	20	38
Investigation	Investigation of Frauds	20	28
Investigation	Types of Investigation	20	15
Investigation	Steps in Investigation	20	3
Investigation	Three types of cases in behavioural problem	19	27
PSU	Properitory Element in CARO 16 (See Revised Module)	18	22
PSU	Planning Performance Audit	18	12
PSU	Compliance Audit	18	8
PSU	Financial Audit	18	7
PSU	Types of Committes	18	4
SA 315	What is Nature, Scope & Inherent Limitations of Internal Control System? (Pg 140	RTP	140
SA 315	What are components of internal control system?	RTP	142
SA 315	Short Notes on Control Enviornment	RTP	142
SA 315	Short Notes on Control Activities	RTP	143
SA 315	Short notes on information & communication system	RTP	146
SA 315	Short note on monitoring controls	RTP	146
SA 315	How to review system on internal controls system	RTP	147

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Co Audit	Difference between rotation under sec 139 (2) & SQC 1	RTP	148
Co Audit	Can right to circulate representation letter be curtailed?	RTP	148
Co Audit	Reporting of fraud 1 crore or above	RTP	149
Co Audit	Reporting of fraud below 1 crore	RTP	149
Co Audit	Requirements of GN on fraud reporting	RTP	159
Co Audit	Re-opening of accounts under sec 130	RTP	151
Co Audit	Structure of revised schedule III	RTP	152
Co Audit	Removal of Auditor by tribunal under sec 140	RTP	153
CARO	Short cases on CARO 2016 from RTP	RTP	157
GN	Manner of signing certificates	RTP	159
GN	GN on 143 (3) (f) / (h)	RTP	161
GN	GN on IFC Vs IFCR	RTP	164
LODR	What are issues addressed in LODR?	RTP	167
LODR	Applicability of LODR?	RTP	168
LODR	What is role of Audit Committee as per LODR?	RTP	169
LODR	What are functions of Audit Committee as per Sec 177	RTP	171
LODR	Mandatory review of information by audit committee?	RTP	173
LODR	Role of auditor in audit committee & certification of compliance	RTP	174
LODR	What are disclosure requirements, regarding managerial remuneration?	RTP	177
LODR	What are provisions regarding code of conduct?	RTP	180
LODR	What are requirements regarding subsidiary of listed company?	RTP	180
LODR	What do you mean by statement of deviation or variation?	RTP	182
LODR	What are disclosure requirements related to Management Discussion & Analysis?	RTP	182
LODR	What information should be sent to shareholders when director is appointed?	RTP	183
LODR	Short Note on Stakeholder Relation Ship Committee	RTP	183
LODR	LODR requirements regarding transfer of securities?	RTP	184
LODR	Short note on compliance certificate?	RTP	184
LODR	What are principles governing disclosure as per LODR?	RTP	186
LODR	What is report on corporate governance?	RTP	188
LODR	What is compliance certificate? When to issue adverse / qualified certificate?	RTP	188
LODR	What is minimum Information to be placed before board of directors?	RTP	190
Consol	What is requirement of consolidation as per companies act, along with exemption if any?	RTP	192
Consol	What is responsibility of parent company in consolidation?	RTP	195
Consol	What is responsibility of auditor of consolidated financial statements?	RTP	196
Consol	Which features of consolidation impacts audit procedures?	RTP	197
Consol	Materiality during audit of consolidated financial statements?	RTP	198
Consol	Important matters while planning audit of consolidated financial statements?	RTP	198

Consol	How to ensure all components are consolidated?	RTP	199
Consol	What are permanent and current consolidation adjustments?	RTP	201
Consol	What should auditor verify apart from memorandum records in consolidation?	RTP	203
Consol	Schedule III disclosure requirements in case of consolidation?	RTP	205
Consol	What are reporting requirements in case of consolidated financial statements?	RTP	208
Bank Audit	How to do audit of SLR in bank audit ?	RTP	210
NBFC	CARO 2016 & NBFC	RTP	212
NBFC	CARO 2016 & Nidhi Company	RTP	214
Tax Audit	ICDS & Changes in Form 3CD	RTP	215
Co Audit	Cost Audit requirements as per cost audit rules?	RTP	217
Mutual Fund	Audit report on mutual fund	RTP	219
PE	Responding to tenders?	RTP	220
PE	Website Guide lines?	RTP	220
PE	Restriction on fees?	RTP	224
PE	Recovery Consultant in Banking Sector?	RTP	225

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